

A toolkit for the rational formulation development of 3D printed pharmaceutical solid dosage forms

FDM

Sheng Qi

School of Pharmacy

University of East Anglia



What could have changed in 2 years?

86% expect their 3D printing use to more than double over the next few years (65% in 2017).

Driver: Individualisation & Saving

79% believe they will more than double their 3D printing use for production parts over the next few years (56% in 2017).

What prevent industry using 3D printing?

- 56% say **materials** issues (cost or availability of materials needed) are number one.
- 44% highlight their workforce issues (such the lack of qualified personnel or subject matter **experts**)
- 39% highlight **process** issues (such as design or post-processing issues).
- 94% of survey respondents said that their design and engineering teams frequently choose traditional manufacturing methods over 3D printing due to a lack of **materials**.

Headlines

Too many...

- Much better success in surgical and medical industry than pharmaceutical industry
- What cause the hesitation?
- Does repetitive proof-of-concept studies useful to the development of the field?

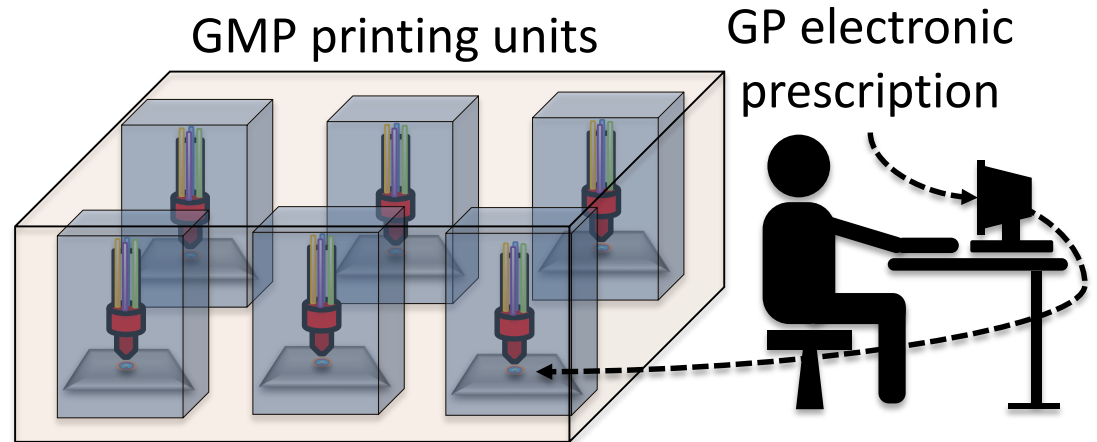
Personalised polypills by FDM

Conceptualisation

Personalised drug
combination &
dose

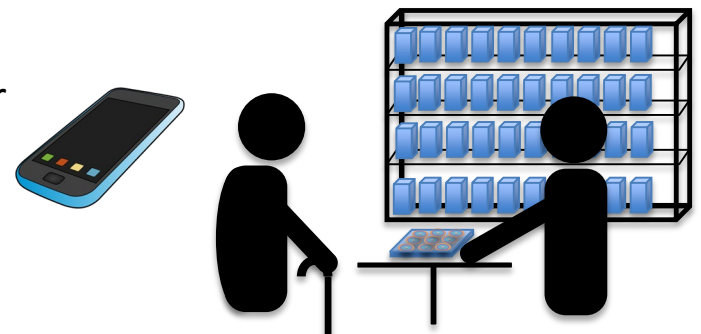
save NHS staff time
↓ misuse of drugs
↑ patient adherence

Point-of-care Polypill Printing



Polypill Dispensing

Mobile App to
alert patient for
picking up or
posting



Personalised polypills by FDM



Personalised polypills by FDM

Reality

What to feed in?

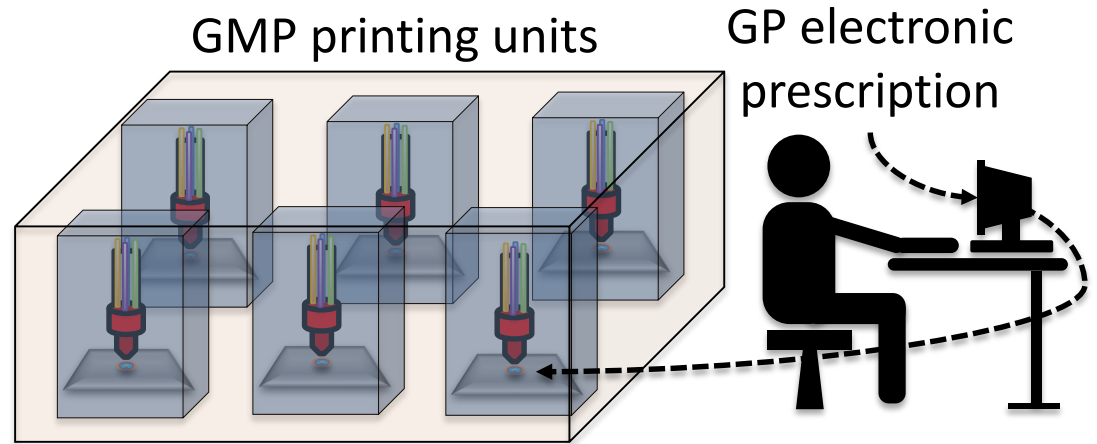
How to QA and QC the end product?

Role and profit change for pharma industry

New costing model

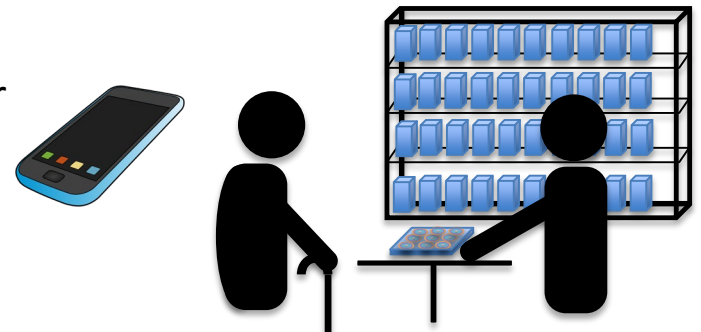
Regulatory barriers

Point-of-care Polypill Printing

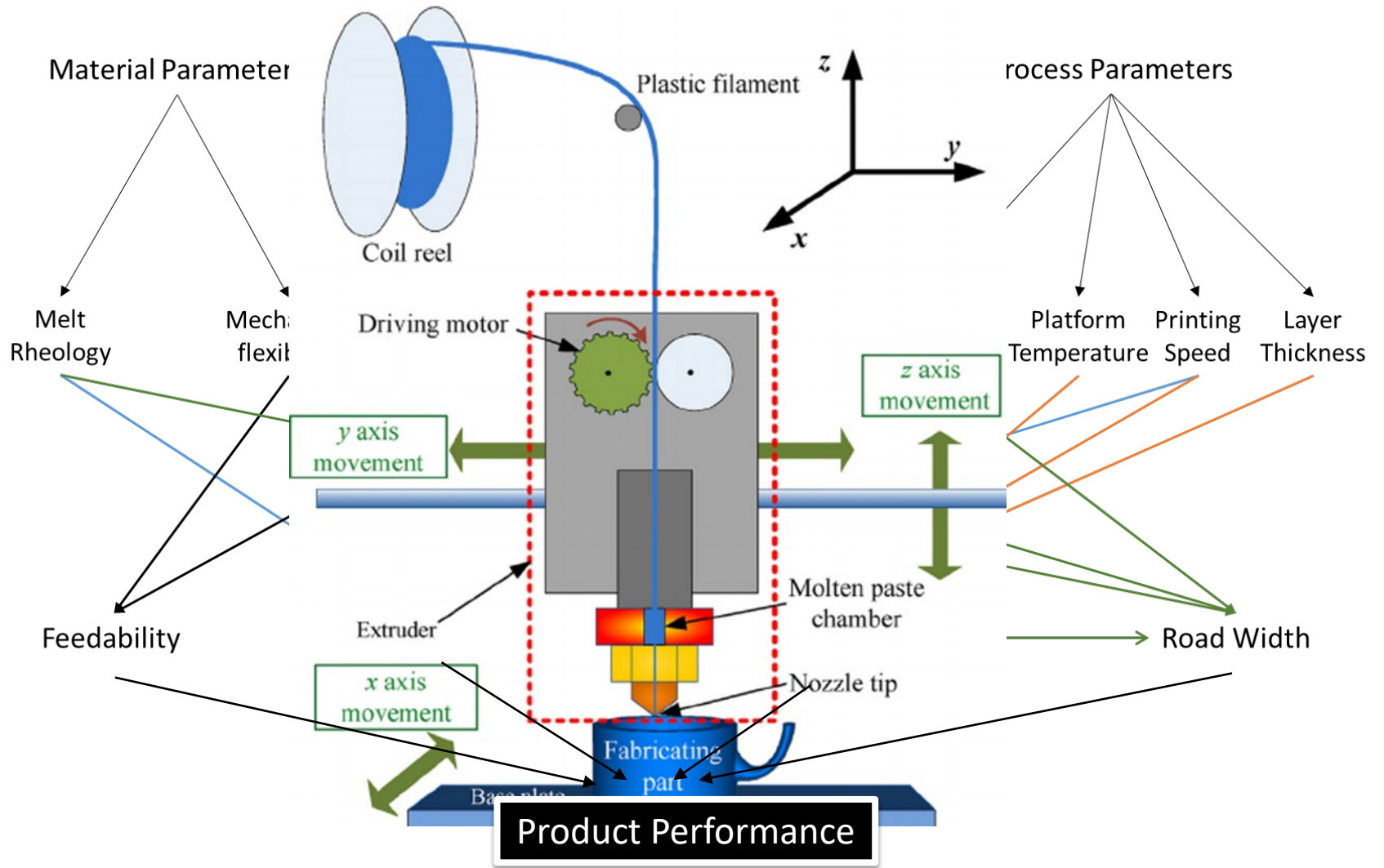


Polypill Dispensing

Mobile App to alert patient for picking up or posting



FDM Printing Control



Challenges for Pharma

Custom-engineered materials

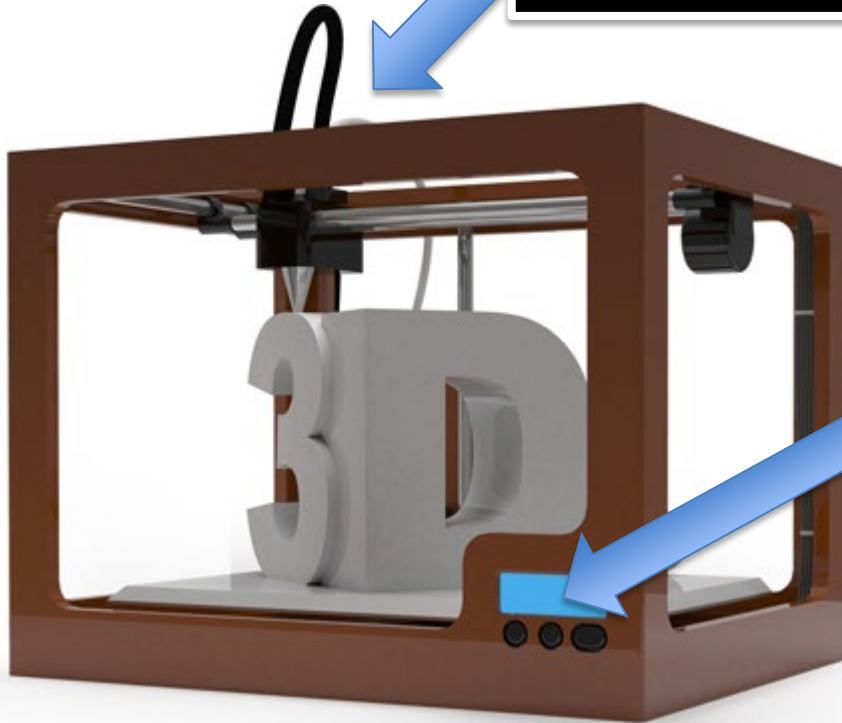
Optimisation of material

Optimisation of engineering of the printer

Rationalised product development principle

Identification of critical attributes

Optimisation principle of process design



Toolkit for printable materials

- Polymer-drug compatibility (solubility)
- Processability of conventional filament printing

Current approaches

Method

- Solubility parameter (δ) and Flory-Huggins theory
- Melting point depression
- Real-time experimental measurements

Drawbacks

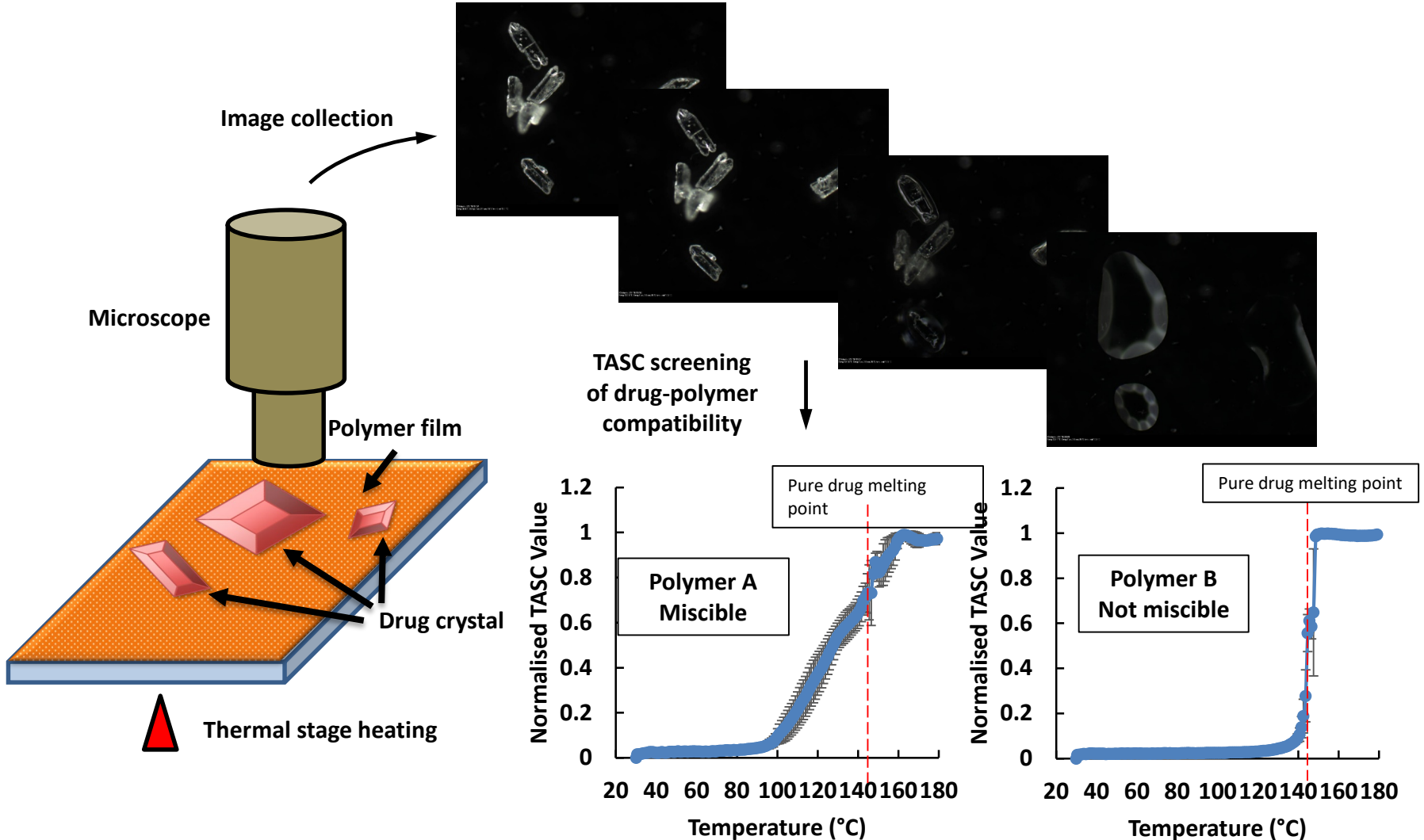
Lengthy calculation
Relying on theoretical prediction

Time consuming
Heating rate dependent

Time consuming
Labour intensive

TASC Working Principle

Thermal Analysis by Structural Characterisation (TASC)



Ref: Alhijaj, M., et al., 2015, Analytical Chemistry. 87, 21, p. 10848–10855; 2018, Molecular Pharmaceutics. 15, 12: 5625–5636; 2017, Pharmaceutical Research. 34, 5, p. 971–989

TASC Screening Capability

TASC method:

8 minutes per pair

7.5 hours in total

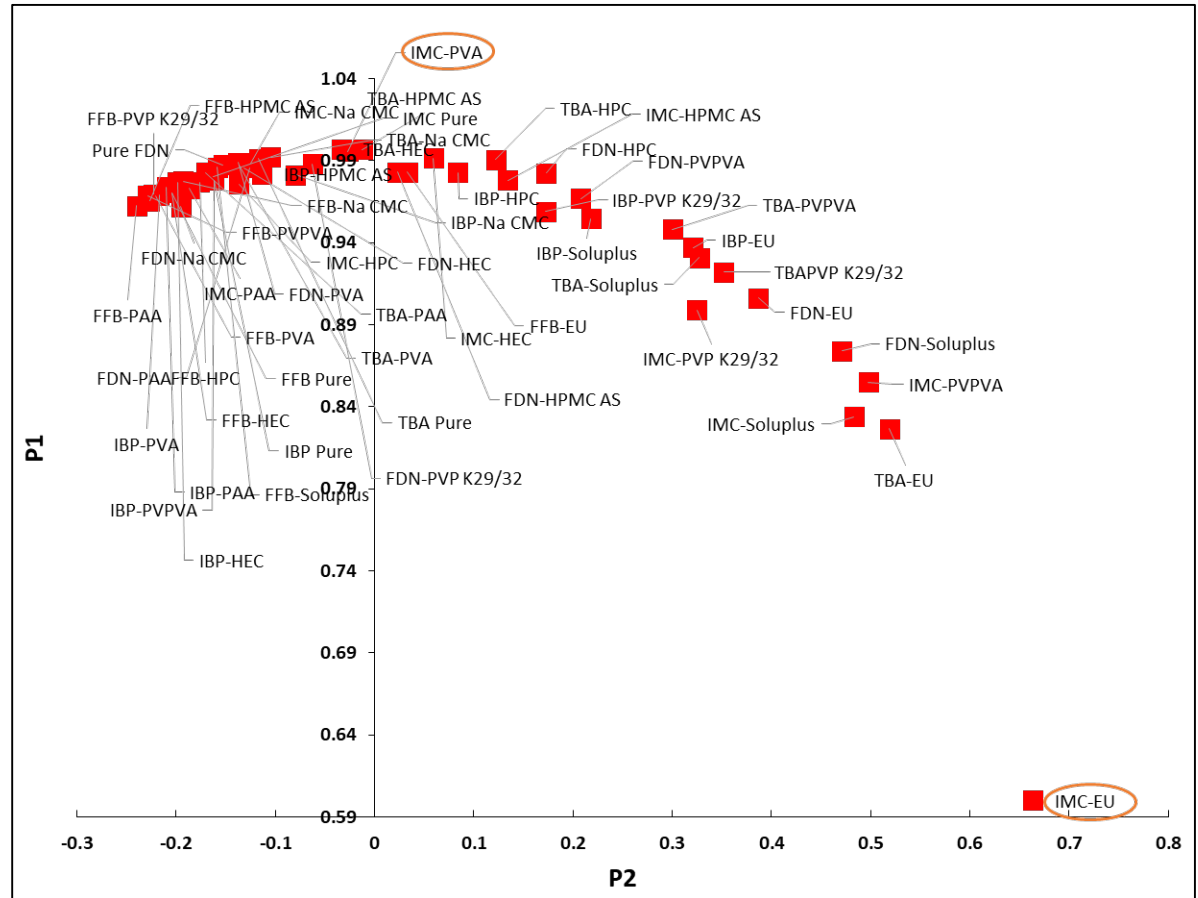
Conventional DSC method:

Average 160 minutes per pair

16 days (working hour lab time)

Quantity of sample:

TASC needs 1/1000th of the weight of DSC sample



LIMITATION: a thermodynamic method, does not taking into account any kinetic factors influencing the stability

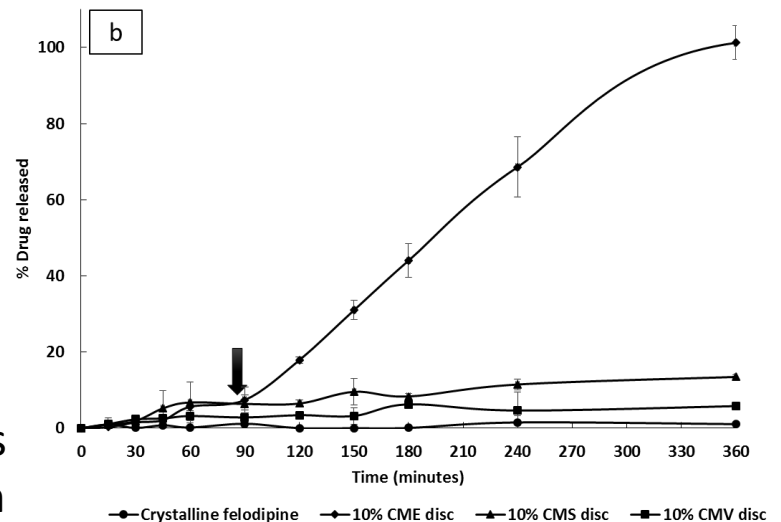
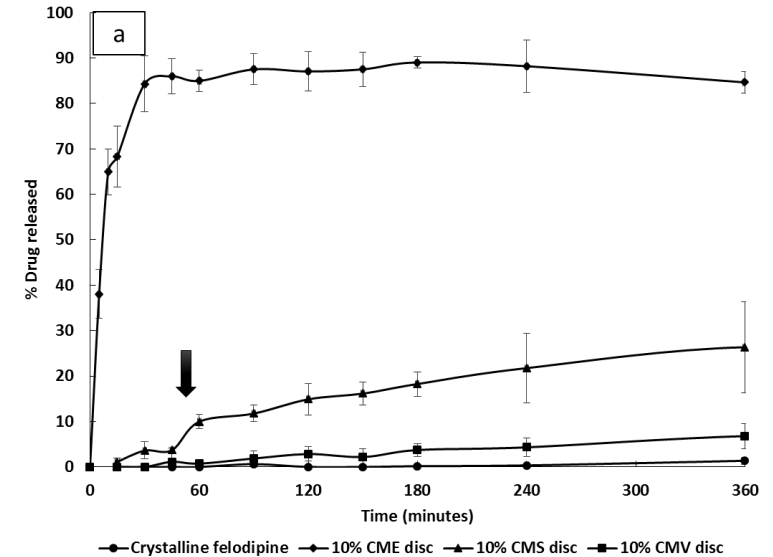
Key message

Any thermodynamic measuring method for solubility does not take into account kinetic factors (i.e. storage temperature and relative humidity).

Therefore rapid prediction needs to be validated by real-time stability data. But the screening method can help to rapidly reduce the number of highly promising candidates.

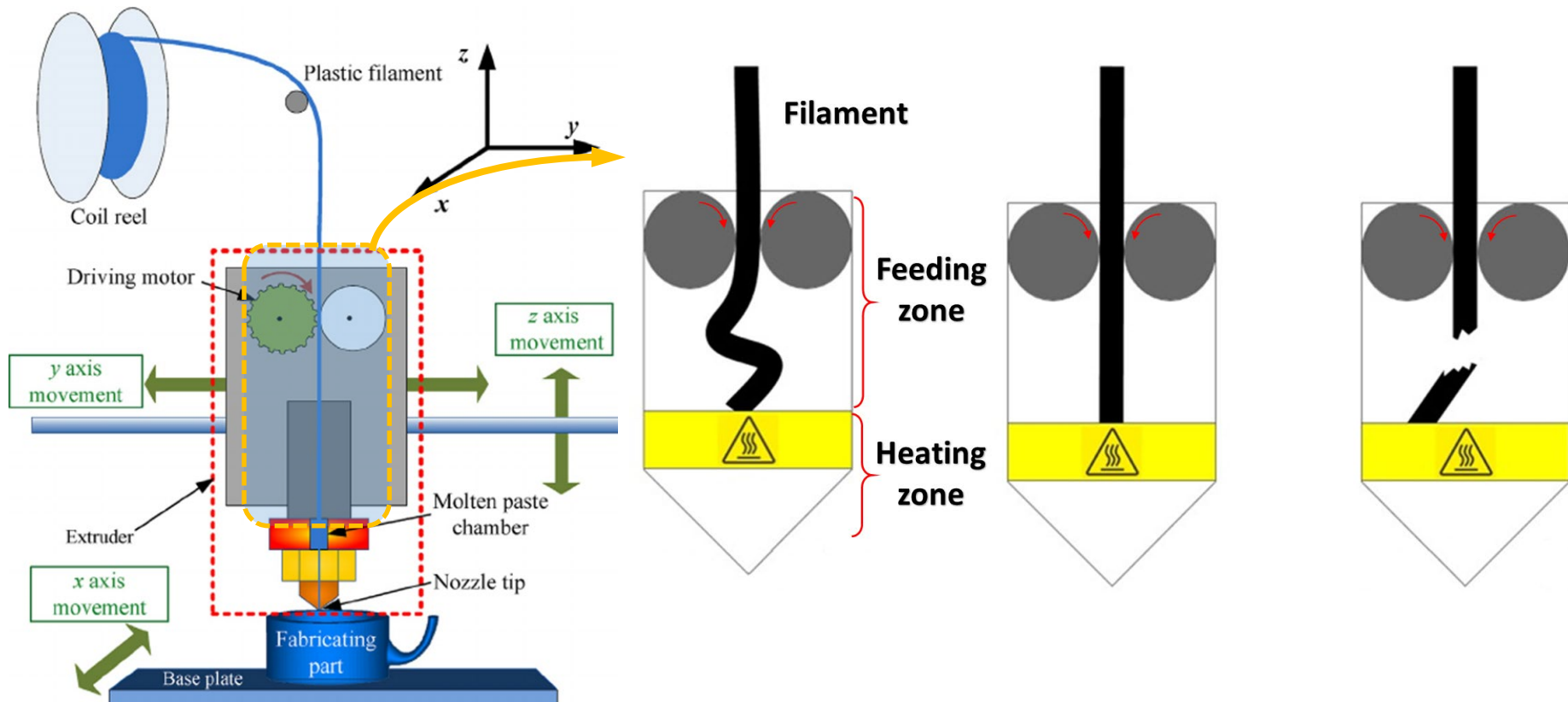
Learn from the past lesson

Minimal 5 excipients and 2 different plasticisers



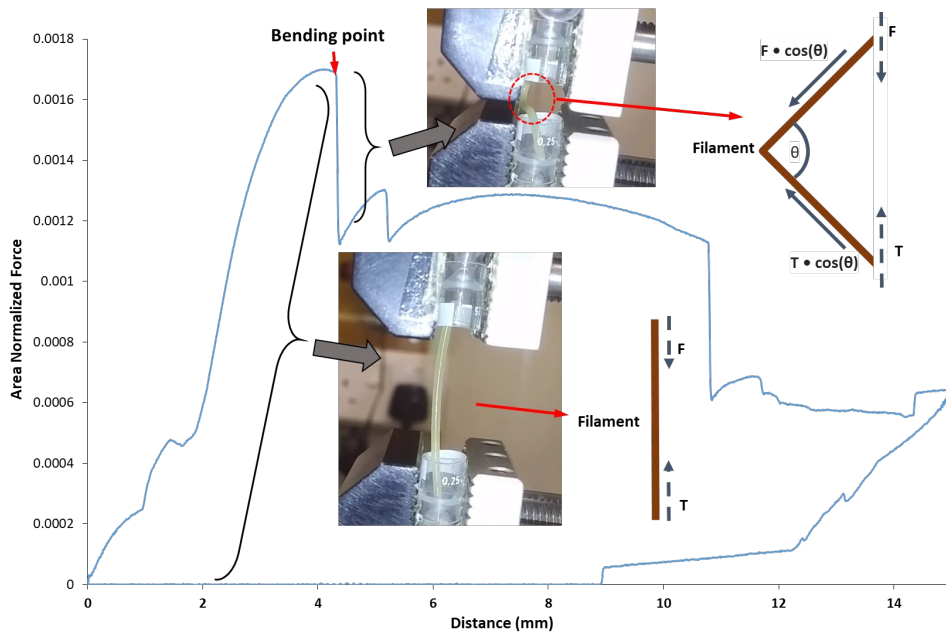
Common issue: most of pharmaceutical polymers are not 'FDM printable' --- ~~trial and error approach~~

No Feeding, No Printing

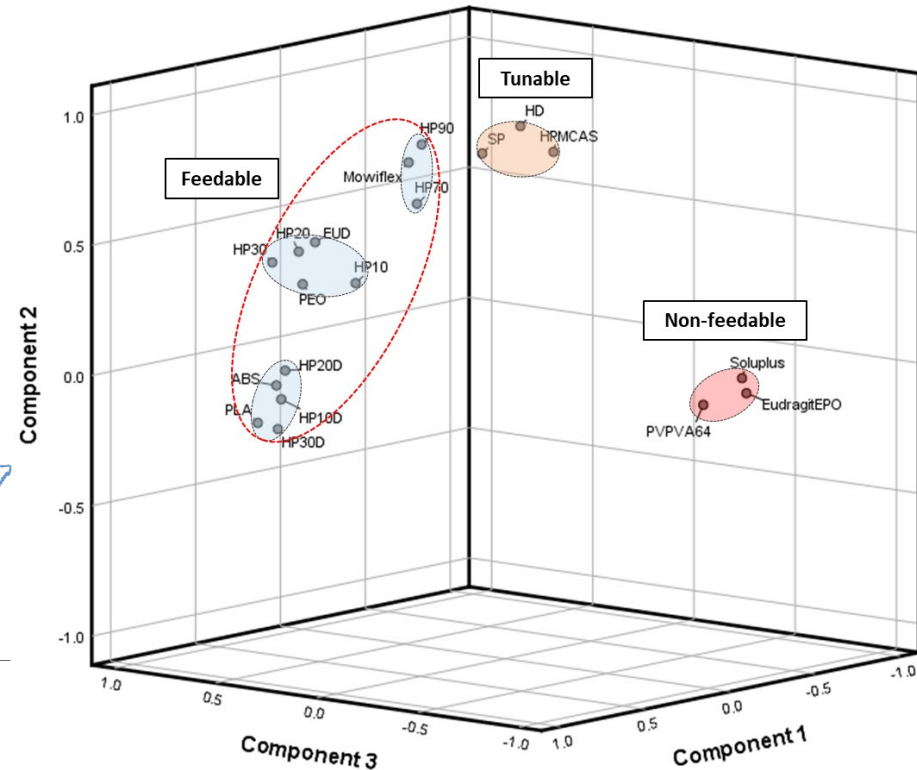


Ref: Nasereddin, J. M., et al. 2018, Pharmaceutical Research. 35, 8, 151.

Feedability Screening

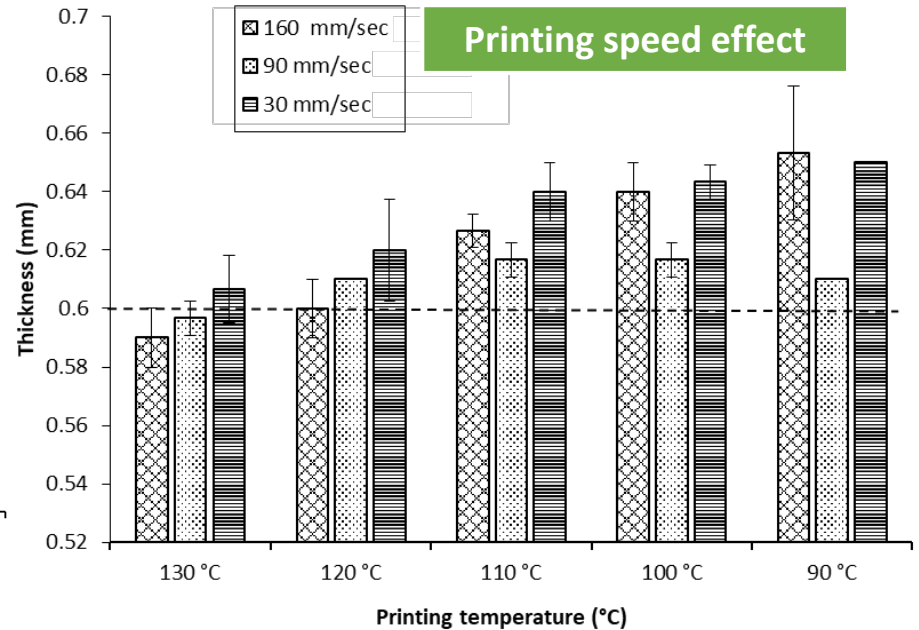
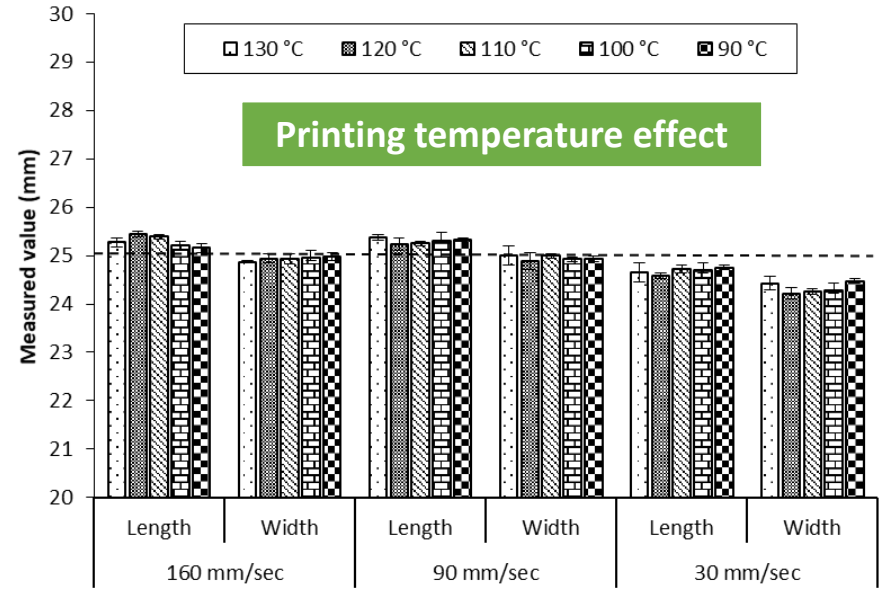
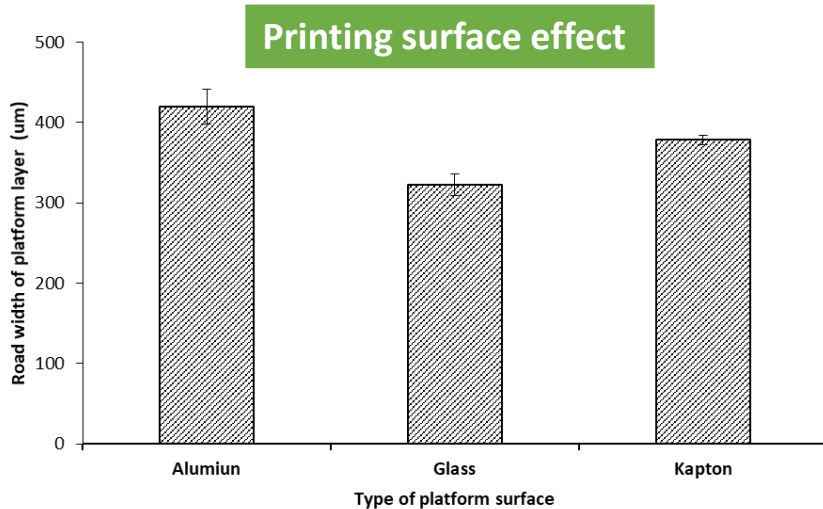
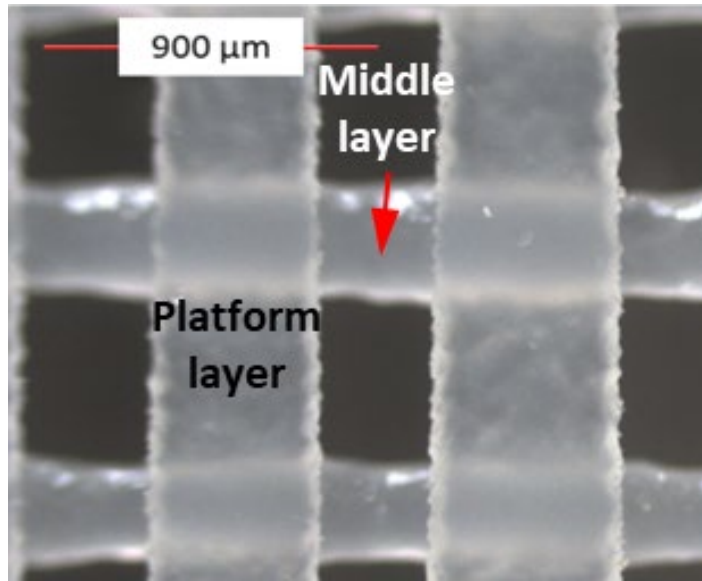


Principle component analysis



Ref: Nasereddin, J. M., et al. 2018, Pharmaceutical Research. 35, 8, 151.

Printing Quality Optimisation



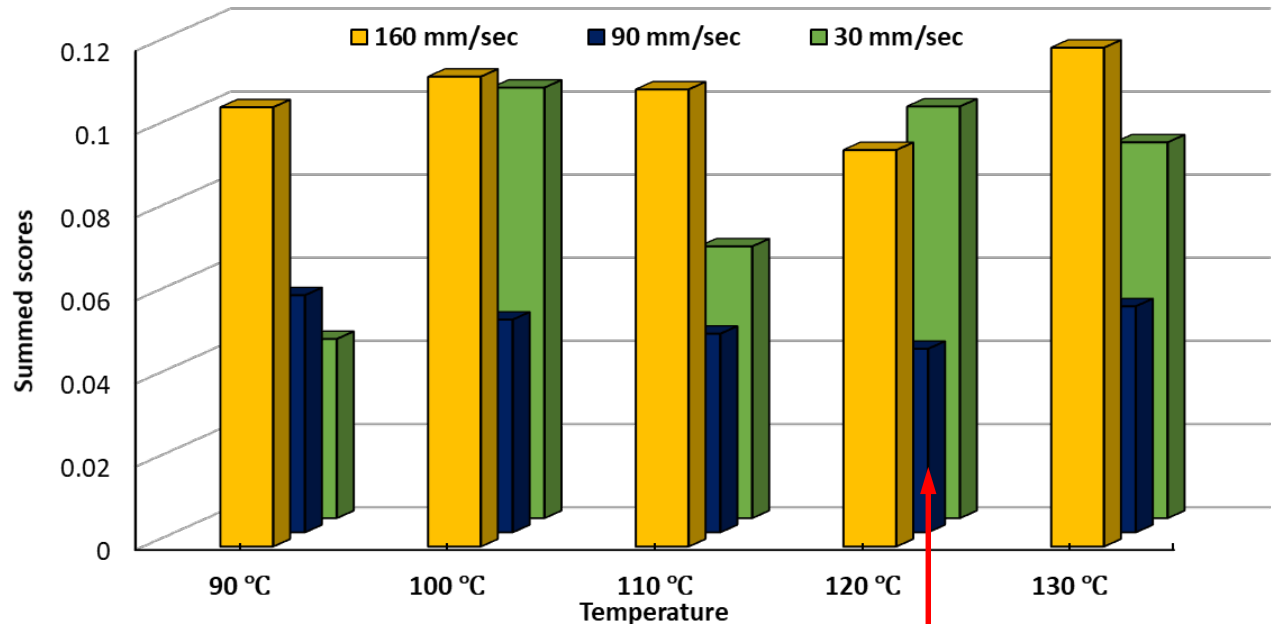
Printability Scoring

Summed Standard
Deviation (SSD)
score

$$P'_i = \left(\frac{\sigma_i^p}{P_i}\right)_{T,S}$$

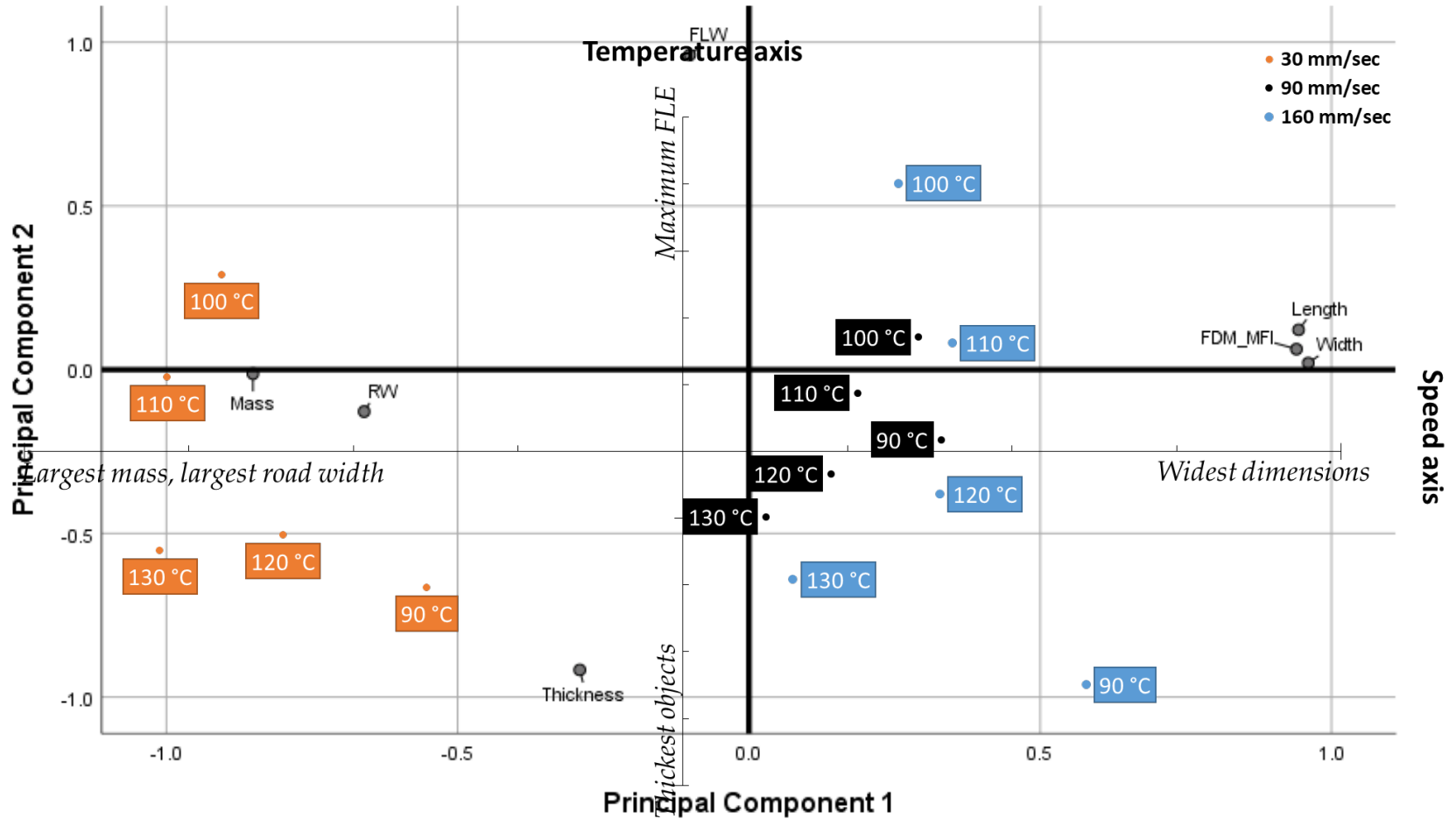
$$SSD = \sum_{S=0}^{S=n} [P'_M + P'_L + P'_W + P'_D + P'_R]_{T,S}$$

SSD scores of printability of all tested conditions



Optimal
condition

Rationalisation (attempt)



Key Message

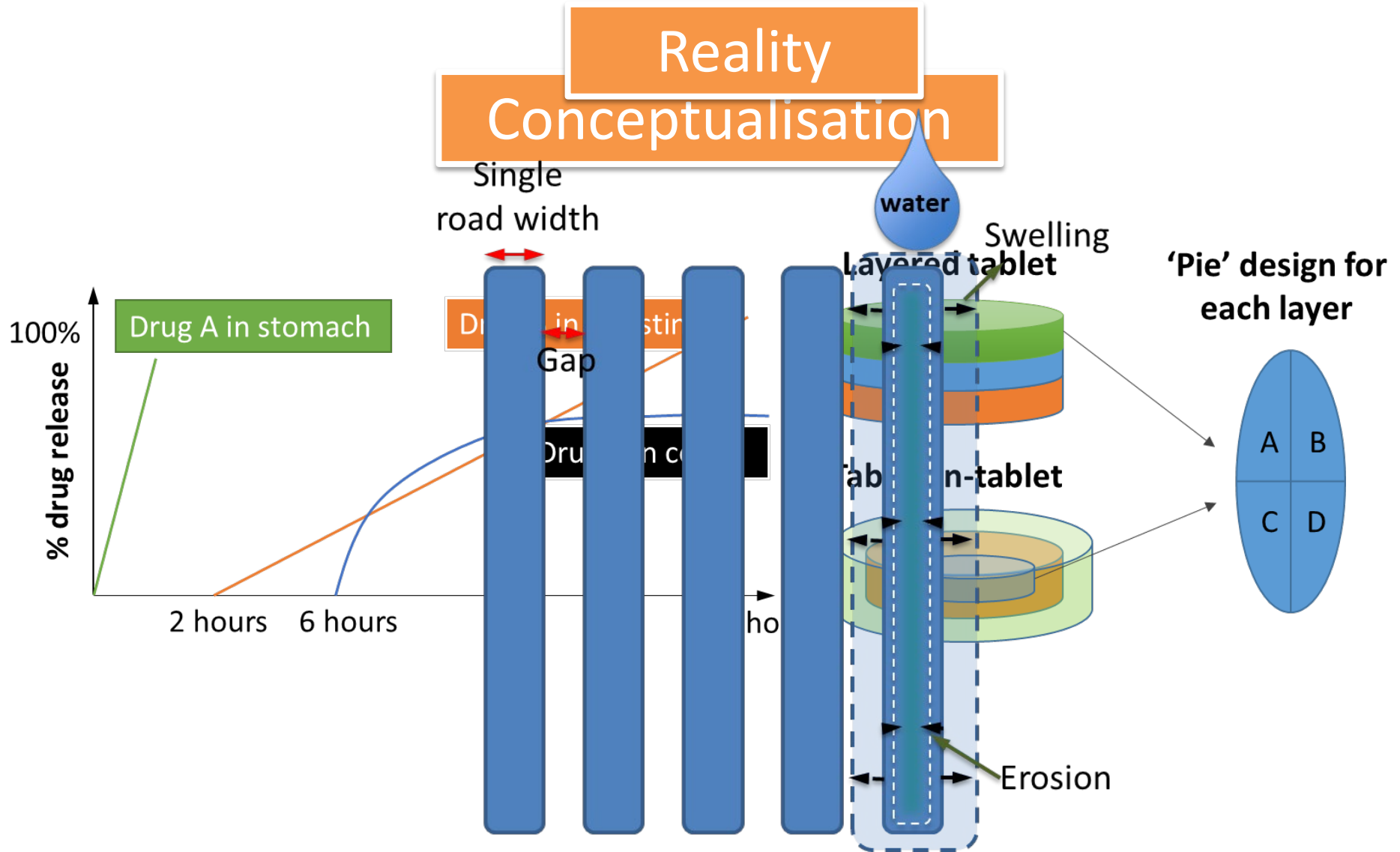
Once the engineered material is printable, the printing speed has a higher level of influence on the printing reproducibility of the object than the printing temperature.

Improvement in the feeding step motor and printing head movement control could potentially help improve this issue.

Critical Attributes to Aid 3D Design

- Process-functionality relationship
- Design-functionality relationship

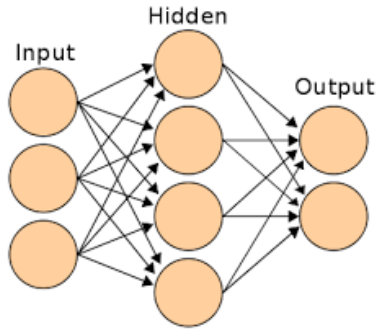
'Bottom-up' Design Development



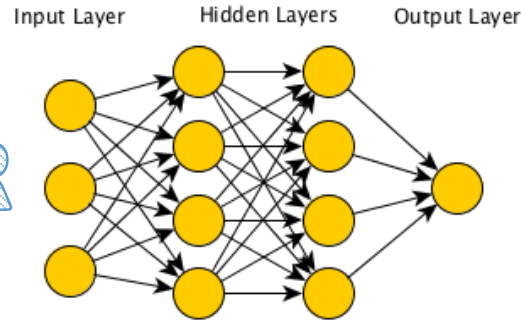
Single Road Behaviour

Polymer (pH 6.8)	Swell (Y/N)	Swell max (%)	Swell rate (%/min)	Hydration rate (%/min)	Erosion rate (%/min)	Solubility (mg/ml)	Drug MW (g/mol)	Polymer MW (g/mol)	log P	pKa	t50 (min)	t80 (min)	t80-t50	R2	D X 10-6 (cm ² /s)	Drug Release time (min)
HPMCAS+PEO+Paracetamol 20%	Y	19	0.0131	0.0154	0.0219	13.6	151.16	2018000	0.46	9.38	26	52	26	0.92	4.7	83
HPMCAS+PEO+Carbamazapine 20%	Y	18	0.0057	0.0067	0.0095	0.0177	236.269	2018000	2.45	13.9	160	348	188	0.84	2.3	480
HPMCAS+soluplus+Paracetamol 20%	N	0	0.0000	0.0030	0.9980	13.6	151.16	133000	0.46	9.38	75	240	165	0.89	8.9	620
HPMCAS+soluplus+Carbamazapine20%	N	0	0.0000	0.0160	0.0025	0.0177	236.269	133000	2.45	13.9	13	34	21	0.79	9.4	60
HPMCAS+Lidocaine 10%	N	0	0.0000	0.0080	0.0280	4.1	234.34	18000	2.44	8	18	39	21	0.97	6.5	120
HPMCAS+Lidocaine 30%	N	0	0.0000	0.0280	0.0310	4.1	234.34	18000	2.44	8	5	7	2	0.98	3.4	30
HPMCAS+Ibuprofen 10%	Y	5.9	0.0197	0.0038	0.0038	0.021	206.29	18000	3.97	5.3	36	64	28	0.99	4.5	120
HPMCAS+Ibuprofen 30%	Y	4.6	0.0053	0.0079	0.0079	0.021	206.29	18000	3.97	5.3	17	31	14	0.97	7.8	90
HPMCAS+Paracetamol 10%	N	0	0.0000	0.0067	0.0312	13.6	151.16	18000	0.46	9.38	25	48	23	0.98	1.2	132
HPMCAS+Paracetamol 30%	N	0	0.0000	0.0073	0.0298	13.6	151.16	18000	0.46	9.38	14	21	7	0.98	3.3	95
Zein+Lidocaine 10%	Y	97	0.0003	0.0006	0.0000	4.1	234.34	22500	2.44	8	740	1600	860	0.99	4.5	2880
Zein+Lidocaine 30%	Y	125	0.0026	0.0005	0.0000	4.1	234.34	22500	2.44	8	370	1750	1380	0.97	1.2	3000
Zein+Ibuprofen 10%	Y	37	0.0001	0.0002	0.0000	0.021	206.29	22500	3.97	5.3	14	23	9	0.77	3.2	120
Zein+Ibuprofen 30%	Y	25	0.0009	0.0001	0.0000	0.021	206.29	22500	3.97	5.3	900	2100	1200	0.95	2.4	2880
Zein+Paracetamol 10%	Y	85	0.0060	0.0008	0.0000	13.6	151.16	22500	0.46	9.38	509	1940	1431	0.98	5.1	2780
Zein+Paracetamol 30%	Y	117	0.0057	0.0006	0.0000	13.6	151.16	22500	0.46	9.38	432	1480	1048	0.97	8.7	3000
PEO+Lidocaine 10%	Y	291	0.0323	0.0513	0.0048	4.1	234.34	2000000	2.44	8	50	95	45	0.99	5.3	120
PEO+Lidocaine 30%	Y	302	0.0562	0.0360	0.0028	4.1	234.34	2000000	2.44	8	23	52	29	0.97	6.8	190
PEO+Ibuprofen 10%	Y	49	0.0492	0.0160	0.0578	0.021	206.29	2000000	3.97	5.3	32	66	34	0.98	9.4	90
PEO+Ibuprofen 30%	Y	28	0.0467	0.1010	0.0542	0.021	206.29	2000000	3.97	5.3	21	34	13	0.95	8.9	60
PEO+Paracetamol 10%	Y	257	0.342	0.0478	0.0036	13.6	151.16	2000000	0.46	9.38	28	88	60	0.97	10	140
PEO+Paracetamol 30%	Y	298	0.334	0.0323	0.0028	13.6	151.16	2000000	0.46	9.38	16	68	52	0.98	9.3	90

ANN (Artificial Neural Network)



OR



INPUT

Maximum Swelling

Swelling Rate

Hydration Rate

Erosion Rate

Solubility

Drug MW

Polymer MW

Lop

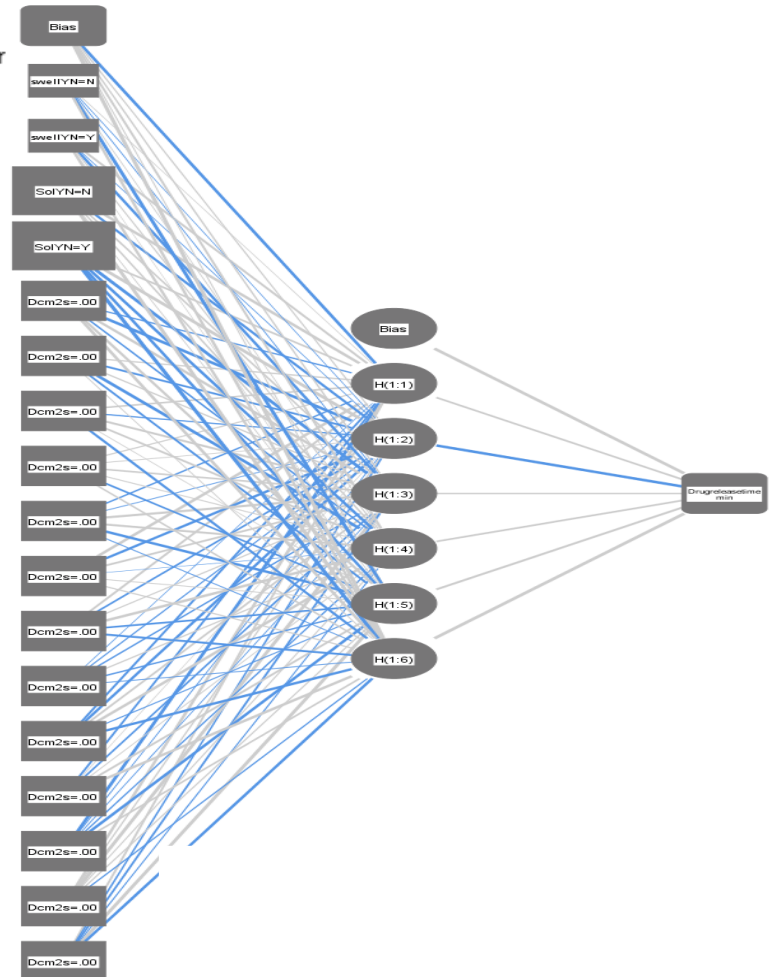
Diffusion Coefficient

pKa

OUTPUT

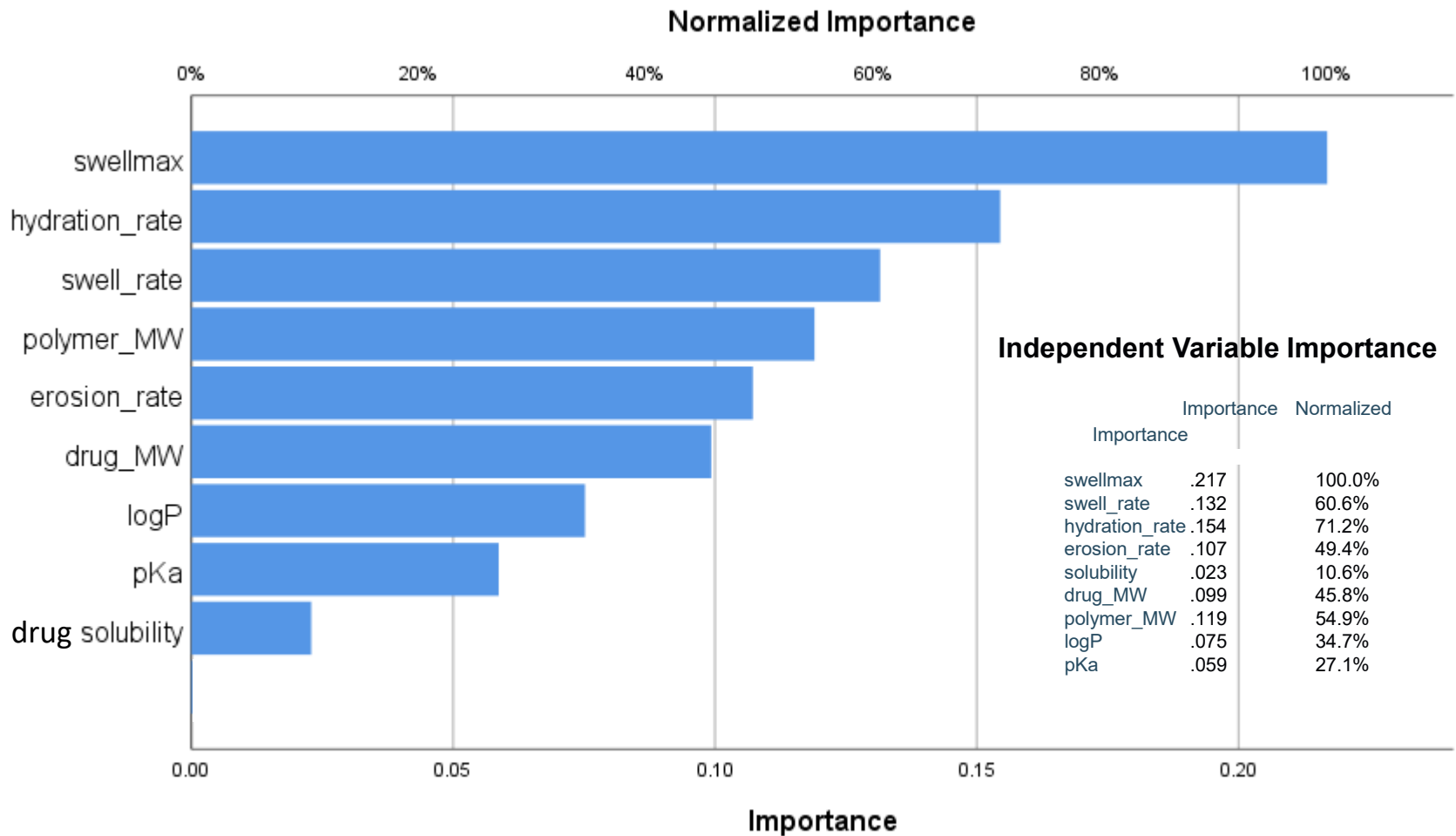
Drug Release Time

— Synaptic Weight > 0
— Synaptic Weight < 0

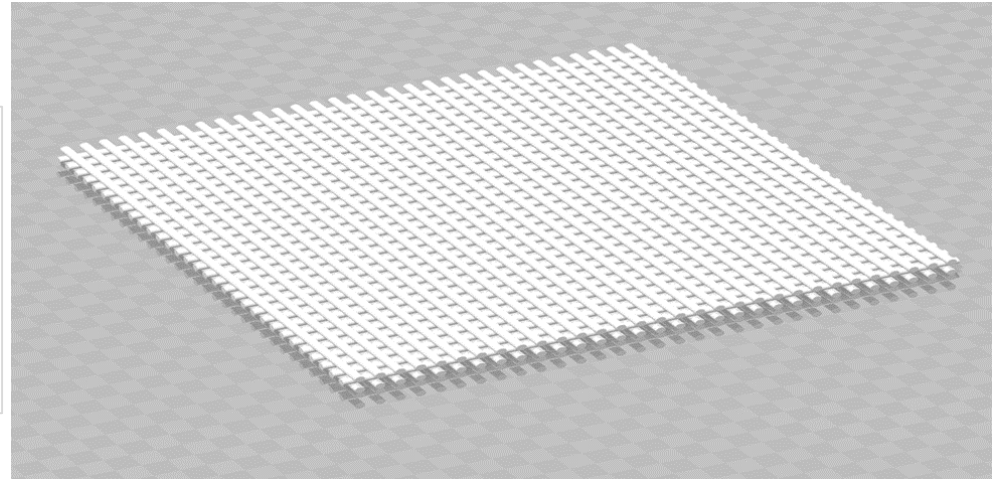
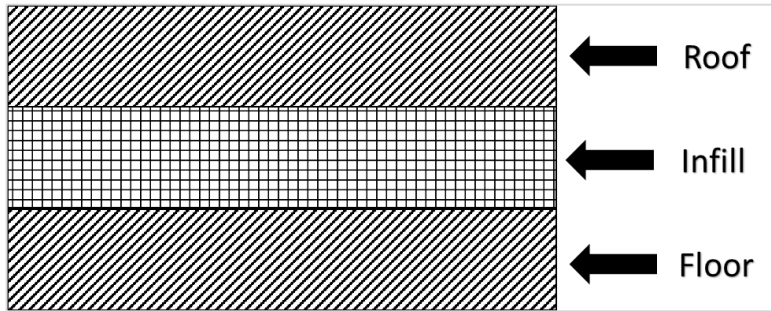


Hidden layer activation function: Hyperbolic tangent
Output layer activation function: Identity

Variables Ranking Analysis



Infill

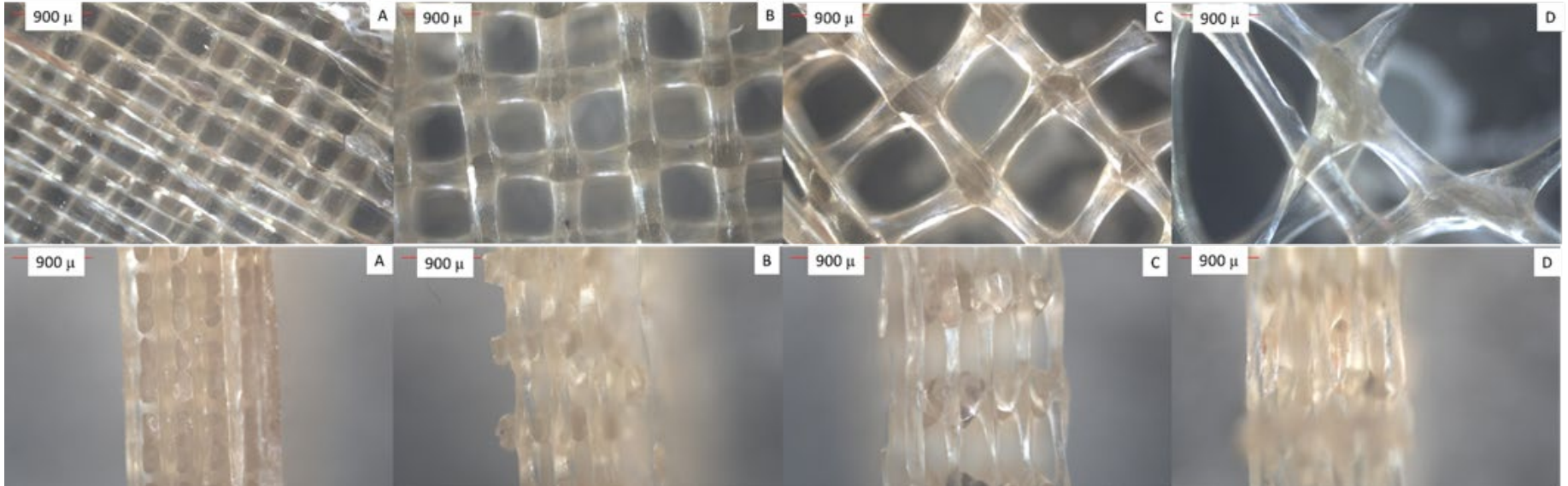


100% infill

75% infill

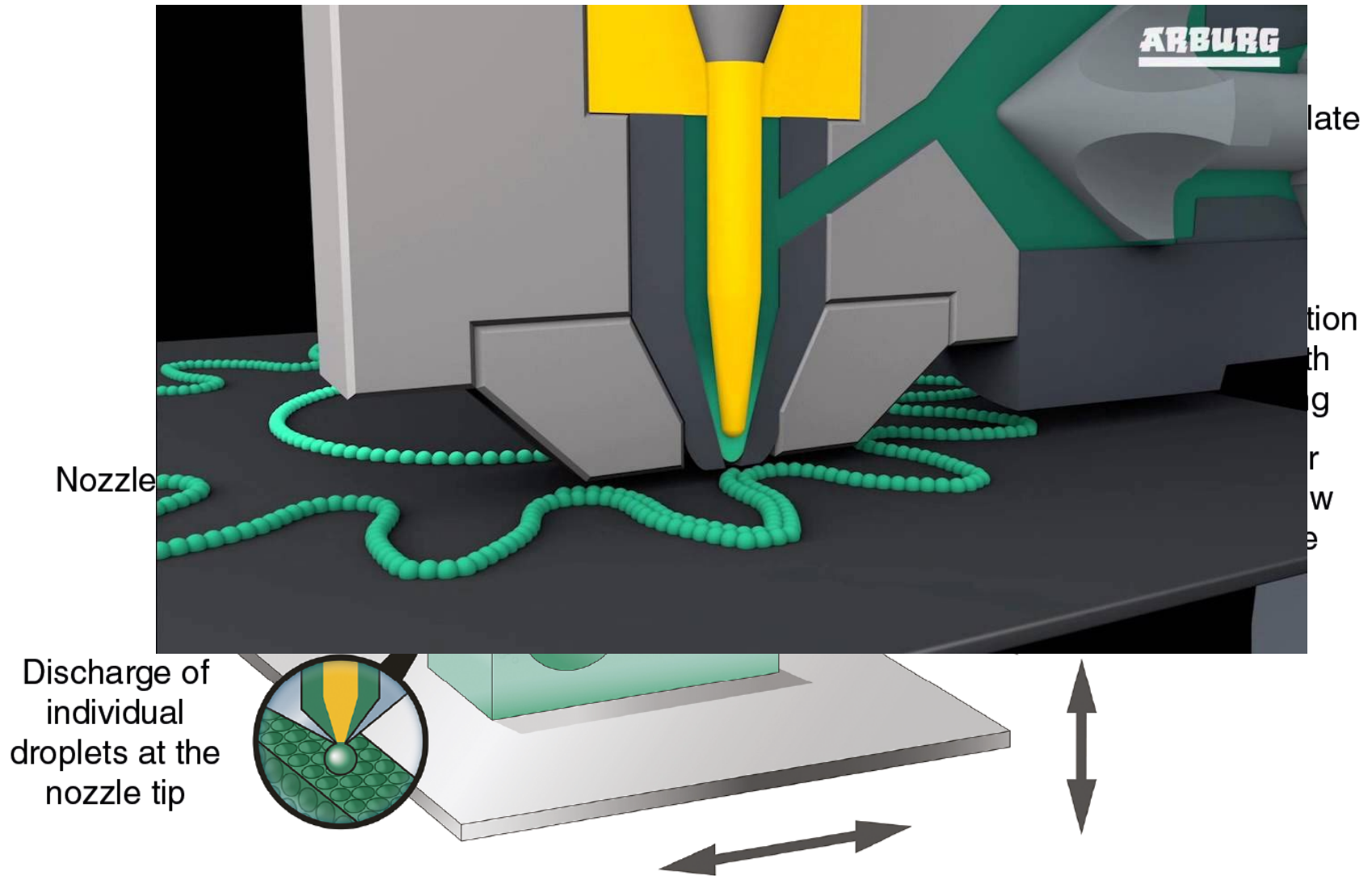
50% infill

25% infill

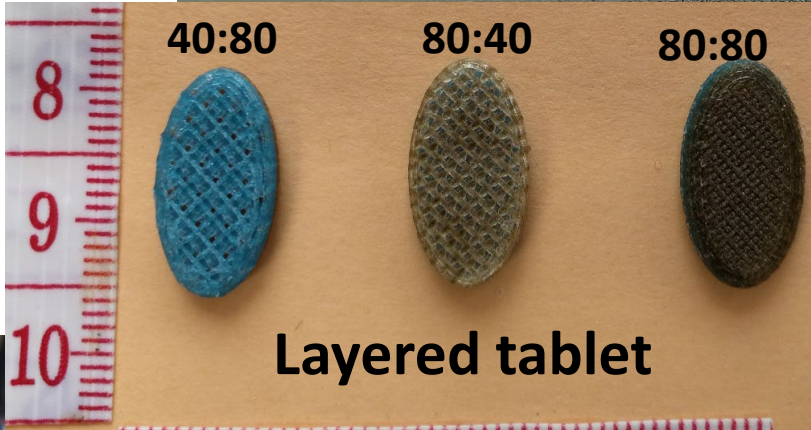


Filament v.s. Pellets

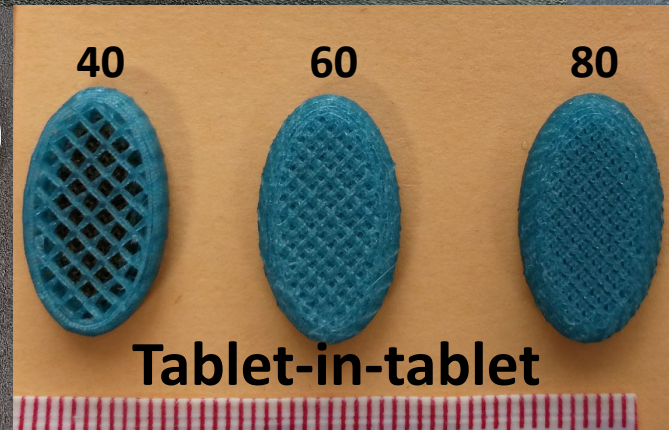
Extrusion v.s. injection



Density



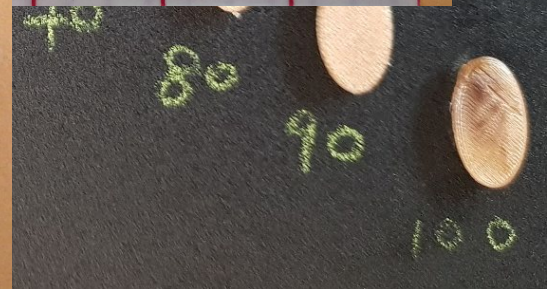
Layered tablet



Tablet-in-tablet

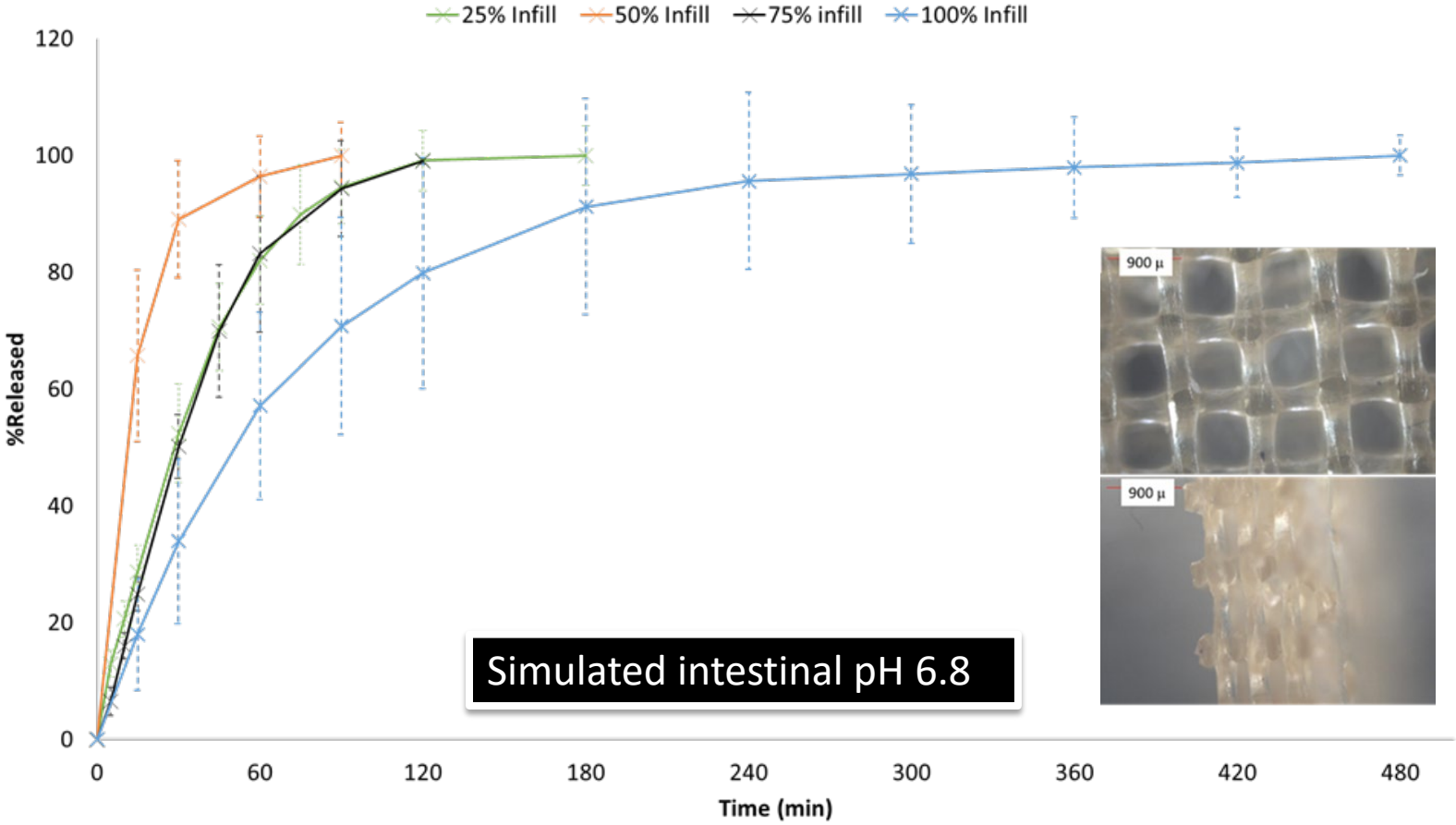


'Pie' tablet



Acknowledgement to Arburg

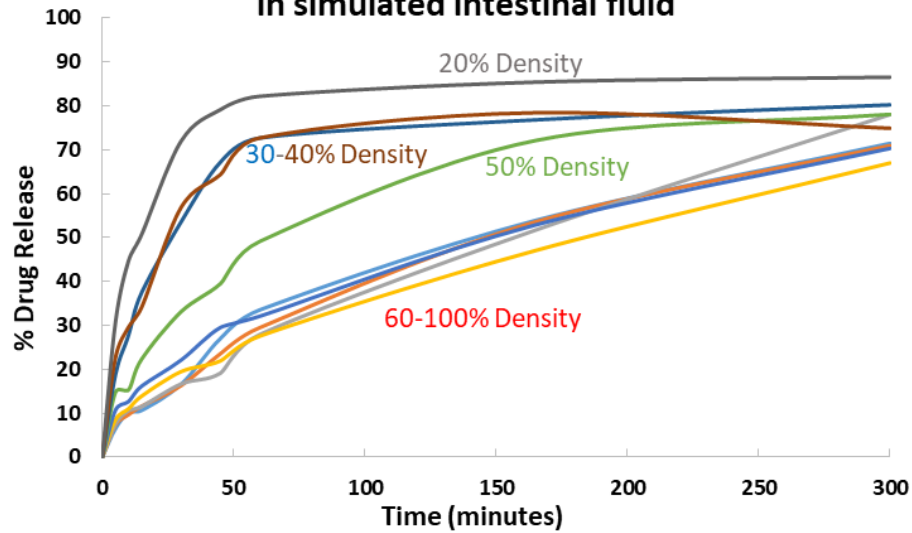
Filament Extrusion Based Printing



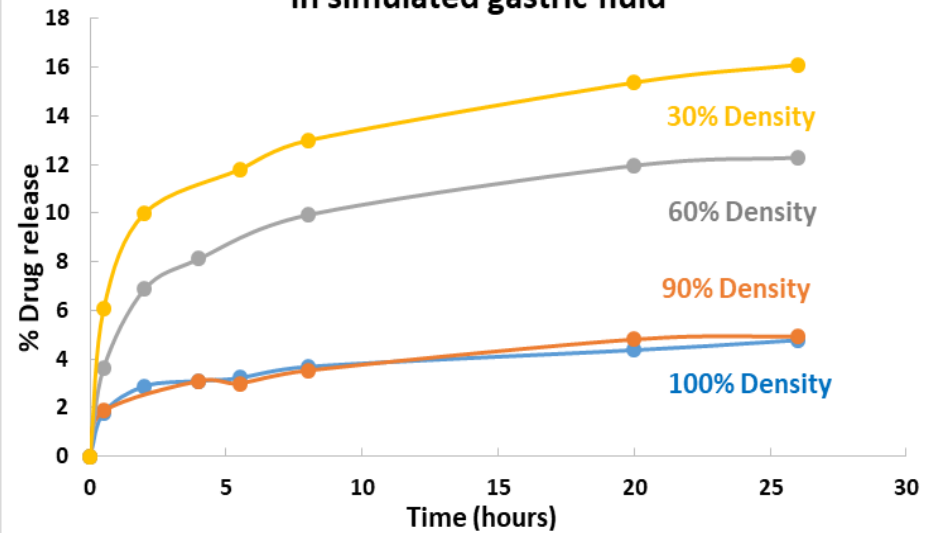
Droplet Based Printing



in simulated intestinal fluid



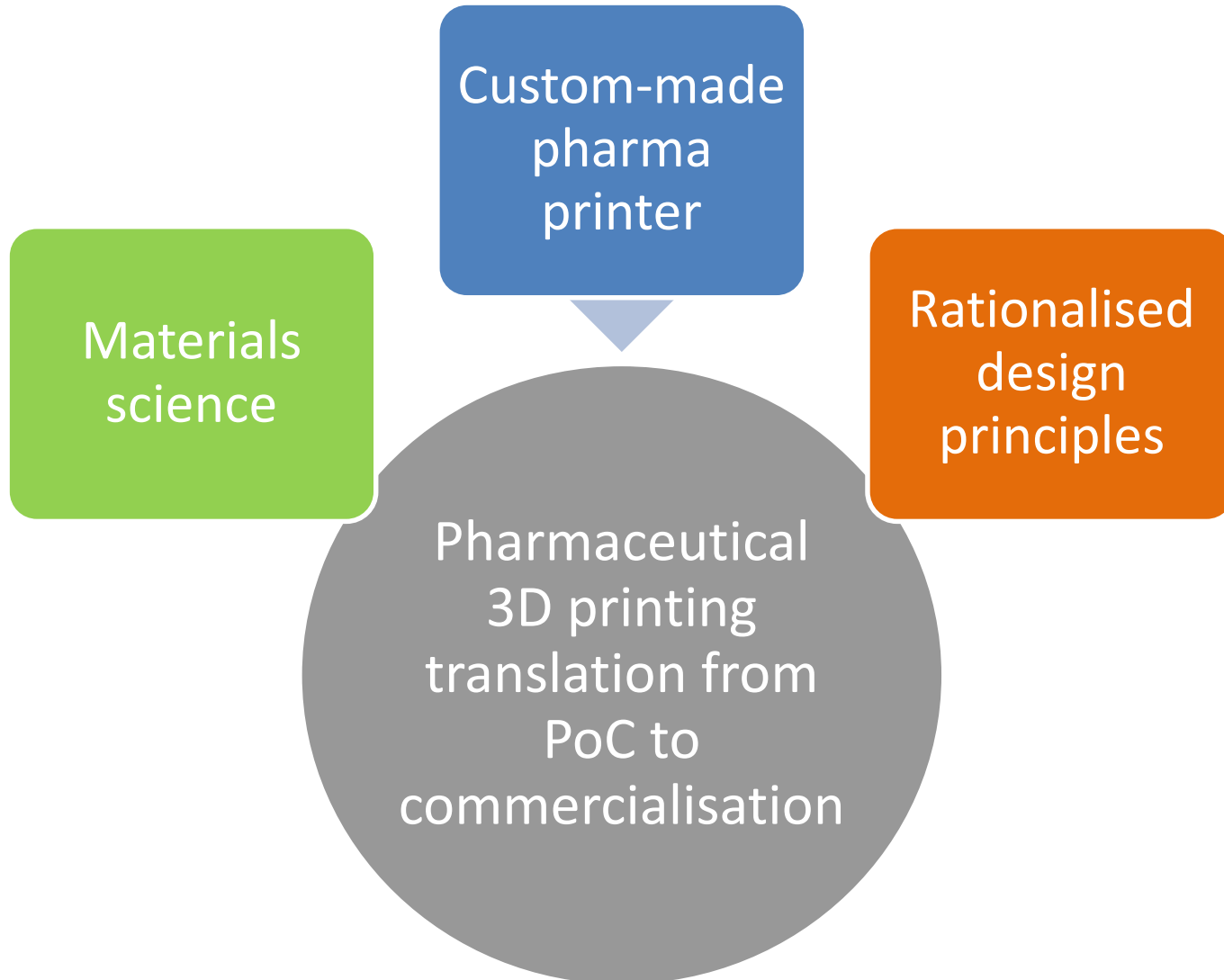
in simulated gastric fluid



Key Message

Extremely important to understand the swelling/erosion/drug-polymer interaction behaviour of the building block of the 3D object (single road) in order to allow better prediction and guide the design of the 3D dosage form with desired performance.

Summary



Acknowledgements

Academic Collaborators

- Professor Peter Belton (UEA, CHE)
- Dr Laszlo Fabian (UEA, PHA)
- Prof. Andreas Dietzel (Braunschweig University, Germany)
- Prof. Guangjun Nie (National center for nanoscience and technology of China)
- Prof. Richard Bibb (Loughborough University)
- Prof. Dennis Douroumis (University of Greenwich)
- Prof. David Braodway (NNUH)
- Dr Mike Reading (Cyversa)
- Dr Nikolaus Wellner (Quadram Institute)
- Prof. Axel Zeilter (University of Cambridge)
- Prof. Greg Gibbons (WMG, University of Warwick)
- Prof. Peter Wilde (Quadram Institute)
- Dr Andrew Mayes (UEA, CHE)
- Dr Matt Alexandra (UEA, ENG)
- Dr Liam McAuley (University of Hertfordshire)
- Prof. Fredric Afforud (University of Lille)
- Prof. Giulia Bonacucina (University of Carmerio)



National Institute for Health Research

Interreg 
2 Seas Mers Zeeën



Imode
INNOVATIVE MULTICOMPONENT DRUG DESIGN



THE ROYAL SOCIETY